Atty. Dkt. No. 00CR063/KE

E RECEIVED
CENTRAL FAX CENTER

### , IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 1 2 2007

Applicant: Mitchell, James P.

Title: COMMUNICATION SYSTEM

AND METHOD FOR A MOBILE

PLATFORM

Appl. No.: 09/493,472

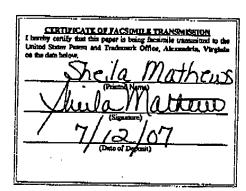
Filing

01/28/2000

Date:

Examiner: Lambrecht, Christopher M.

Art Unit: 2611



### DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I, James P. Mitchell, state and declare that:

- I am the inventor of Claims 1-30 currently pending in U.S. Patent Application No. 09/493,472 titled "COMMUNICATION SYSTEM AND METHOD FOR A MOBILE PLATFORM."
- Prior to September 27, 1999, I conceived and actually reduced to practice in the United States the ideas set forth in Claims 1-30 of the above-referenced application (as evidenced by the attached Exhibits A and B).
- I hereby incorporate by reference my declaration filed September 27, 2006. The
  purpose of the present Declaration is to supplement my earlier declaration to provide
  additional evidence establishing conception and reduction to practice of the claimed
  invention prior to September 27, 1999.
- 4. Exhibits A (11 pages), titled "DBS Aviation Data Channel Concept," is a redacted copy of pages from a document outlining and describing the specifications and functionality of a communication and video system for a mobile platform.
- 5. Exhibit A is a PowerPoint presentation outlining a communication and a video system for a mobile platform (shown as an airplane). Exhibit A includes several of the drawings included in the above-referenced patent application. For example, Figure 3 of the patent application corresponds to the drawing shown on page 3 of Exhibit A,

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Figure 6 of the patent application corresponds to the drawing shown on page 4 of Exhibit A, Figure 7 of the patent application corresponds to the drawing shown on page 6 of Exhibit A, Figure 8 of the patent application corresponds to the drawing shown on page 7 of Exhibit A and Figures 11 and 12 of the patent application correspond to the drawing shown on page 2 of Exhibit A.

- 6. I created Exhibit A in the United States prior to September 27, 1999.
- 7. Exhibit B (3 pages), titled "ATC DBS Technology Demonstration," is a redacted copy of pages from a document outlining and describing the demonstration of a communication and video system for a mobile platform.
- 8. I created Exhibit B in the United States prior to September 27, 1999.
- 9. The demonstration documented in Exhibit B was conducted in the United States.
- 10. The demonstration documented in Exhibit B involved a system that corresponded to the subject matter claimed in the present application. In particular, the demonstrated system was a communication and a video system suitable for use with a mobile platform (e.g., an airplane). The demonstrated system included a server configured to be located at a docking area (e.g., a gate) for the mobile platform, a wireless docking area transceiver, a first satellite receiver and a first storage unit. The server stored order wire data received by the first satellite receiver and stored video data received by the first satellite receiver in the storage unit in response to the order wire data. The demonstrated system further included a second satellite receiver, a wireless platform transceiver and a second storage unit configured to be located on the mobile platform. The wireless platform transceiver received the order wire data and the video data from the wireless docking area. The second storage unit stored video data for playback and stored the order wire data. The order wire data controlled a source of video for playback of a program. As such, the subject matter claimed in the above-referenced patent application was demonstrated operational by this demonstration.
- 11. The demonstration documented in Exhibit B took place on June 29-30, 1999.
- 12. Sometime between July 1999 and January 2000, an invention disclosure relating to the above-referenced patent application was submitted by my employer, Rockwell Collins, Inc., to the law firm of Foley & Lardner LLP.
- 13. On January 11, 2000, Joseph N. Ziebert sent a draft of the above-referenced patent application to me as indicated in the cover letter attached hereto as Exhibit C.
- 14. On January 18, 2000, Joseph N. Ziebert advised Kyle Eppele that the abovereferenced patent application was ready for filing as indicated in the cover letter attached hereto as Exhibit D.
- 15. On January 28, 2000, the above-referenced patent application was filed with the United States Patent and Trademark Office.

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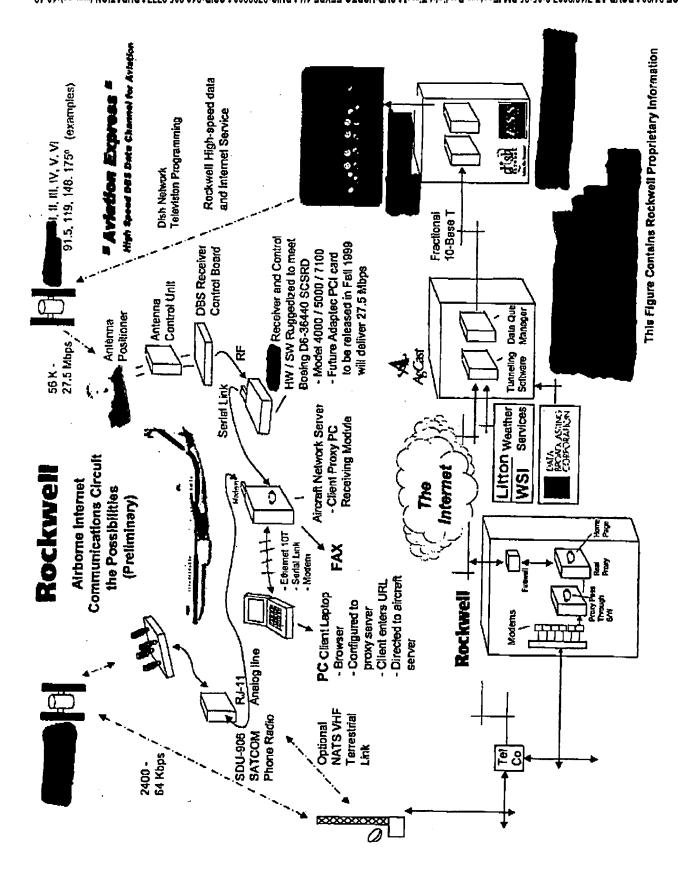
I hereby declare that all statements made herein of my own knowledge are true and 16. that all statements made on information and belief are true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application or any patent issuing therefrom.

## Sockwell EXHBIT

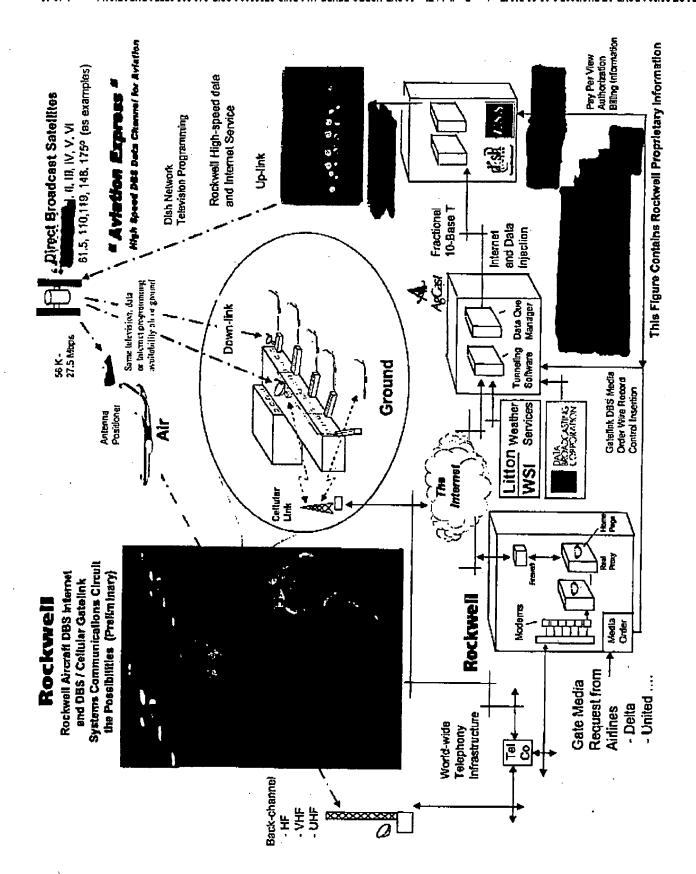
# DBS Aviation Data Channel Concept

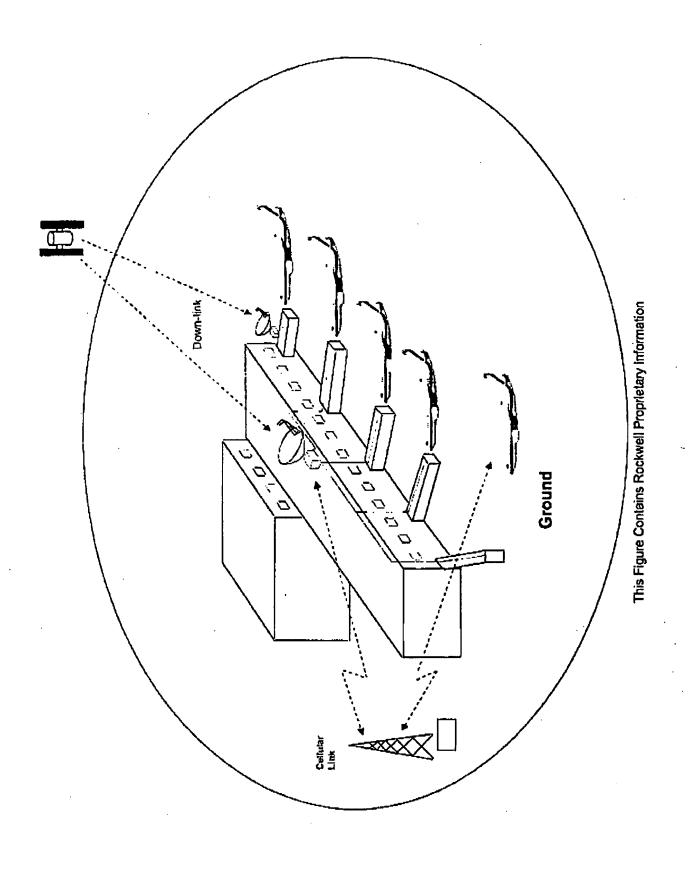
Advanced Technology Center Internet ATD Demonstration

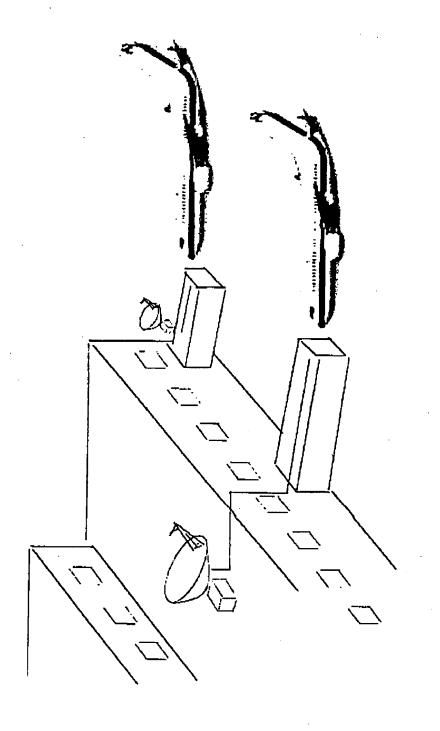
Company Proprietary

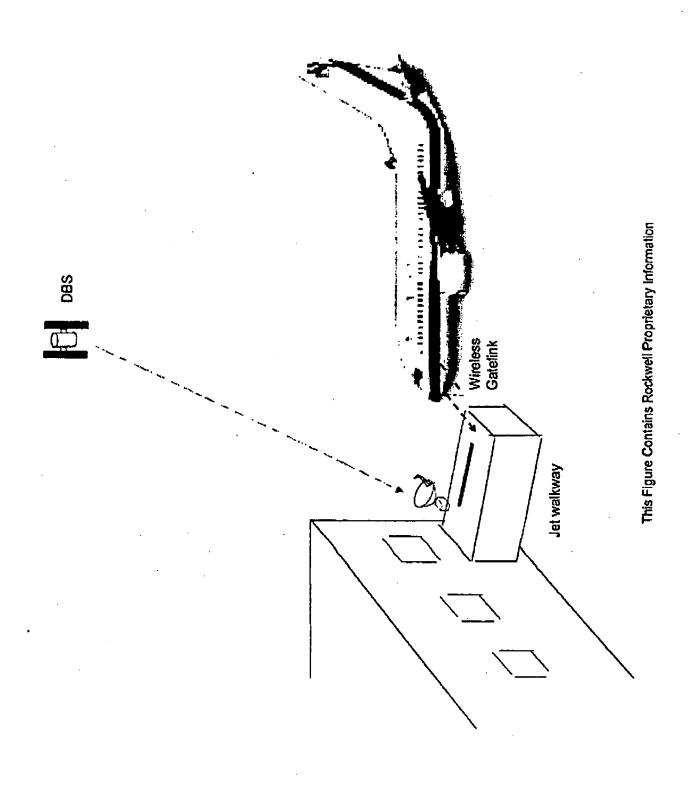


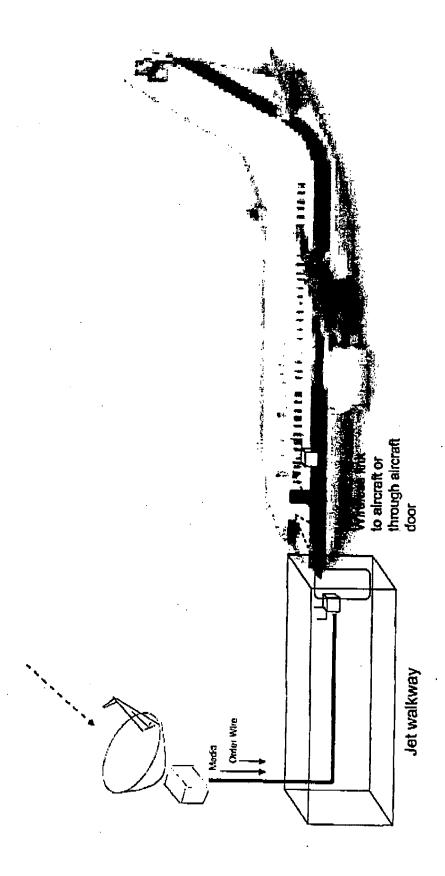
PACE 24/39 \* RCVD AT 7/12/2007 6:05:25 PM [Eastern Daylight Time] \* SVR: USPTO-EFXRF-1/4 \* DMIS:2738300 \* CSID:319 295 295 377 \* DURATION (mm-ss):10-42











This Figure Contains Rockwell Proprietary Information

### Aircraft Gatelink Media File Server distribution system To aircraft media Storage medium for movies from gatelluk Storago medium for Internal web sites Gatadink enterna Slorage for sudio and video may include: Celular radio Radio for collular link antenne Radio for gatelink Aircraft Doonway hrough aircraft Wireless link to aircraft or High-speed door (or wired) Wireless Jet walkway Storage medium for many video movies, programs Door Gatelink Media File Server Gaterry Storage for streaming audio and video Cellular radio Satelitte Media Programming Optional Storage for Internet web sites Ethernet anlenna Order Wite may include: Radio for cellular link "Aviation Express Satellite Dish Antenna Radio for gatelink Mgh Apood DES Date Cha F. or Digital Channels from DBS

PACE 30/39 \* RCVD AT 7/12/2007 6:05:25 PM [Eastern Daylight Time] \* SVR: USPTO-EFXRF-1/4 \* DNIS:2738300 \* CSID:319 295 8777 \* DURATION (mm-ss): 10-42

This Figure Contains Rockwell Proprietary Information

### Business & Regional Systems server loading / complementing 123 · Wireless airport terminal area file Rockwel - Stocks, Weather, News user channels and core data broadcasting. User channels Air Transport Systems - Large file FTP messaging Core data to I'S server Passenger Systems have priority - this part may fit AgCast's competency. AgCast is also an Internet data content aggregator. - "Off-line" Internet - IFN IFE systems - E-mail / FTP Core broadcasting content "grows and shrinks." Fax to plane **DVnamic** allocation of bandwidth between - Fax to plane - Full Internet - Core data according to user channel BW demand. Core programming may be resold to High Speed DBS Data Channel for Aviation an uniimited audience in the air User and Order Wire channels (priority) or on the ground. This Figure Contains Rockwell Proprietary Information (1-Megabit per second as an example) \* Aviation Expi Fixed contracted (less pre-existing core (uses NATS or INMARSAT for back-chennel) (uses NATS or (NMARSAT for back-chennel) (USES RATS or INMARSAT for back-channel) broadcast channel (variable) Core data programming programming) DBS BW front DBS Gatelink Media File Server Order Wire - 28.8 K - 28.8 k 28.8 k 28.8 K 4800 - 56 k Airport Conditions Weather graphics Stock Market News / Press Internet user Internet user nternet user Voice mail Fæ L Ad revenue avenue Additional Aviation Related Content Aggregation .... -Honwesther Service

# Gatelink Media File Server

### may include:

Radio for gatelink

Radio for cellular link

Modern connection to Ethernel (aptional)

Storage medium for many video movies, programs

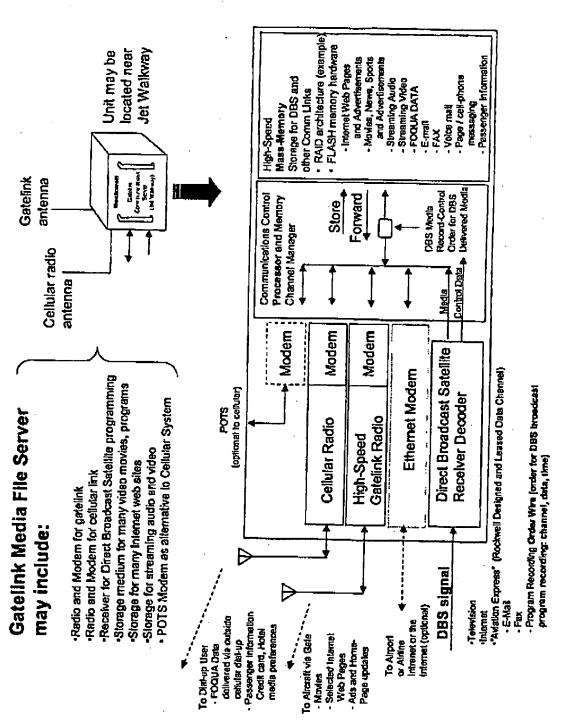
Unit may be located within jet-welkway or in export terminal Storage for streaming audio and video Slorage for Internet web sites

or with DBS antenna

Aircraft Door Opening Jet walkway Gatelink antenna Cellular radio antenna Airline Ethemet Artenna for high-speed wireless gatelink ideally located Cannection to Intra/Internet) Optional at jet walkway door Programming and data from DBS Satellite - Avlation Expn Rockwell Dish Anterna Albert Speed Onto Date Ob

This Figure Contains Rockwell Proprietary Information

Door Opening



This Figure Contains Rockwell Proprietary Information

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# ATC DBS Technology Demonstration Multi-regional Antenna Interactive Internet, High-Speed Data, and TV

Demonstrated

Rockwell Proprietary

Ku-band setellite

commercial

pointed at

Mulli-regional dish antenna

Low Noise

Amplifiers

### Demonstrated Operational

broadcast speeds from 1 to 27.5 Mbps coming soon Interactive Internet Data, and/ or Dedicated Custom High-Speed Data 19.2 - 56 kbps NOW, Commercial

requires back-channel) nteractive internet MS Internet Explorer Browsing the Web using Standard PC Laptop True

**Office Wath** Afficiosof NAMES OF

PC Laptop or server (no back-channe) rqd.) capturing dedicated Dedicated High-Speed Data

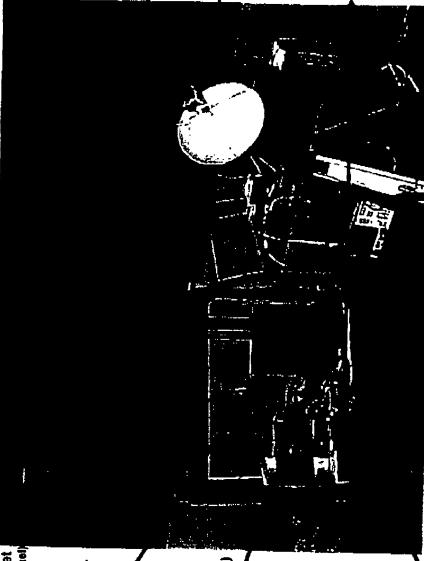
- WSI-Litton Weather - "Aviation Express"

Radar maps Stocks

Comtex Press Releases - Sports

Ruggedized COTS with data interface entitled receiver decoder unit

data Network (1



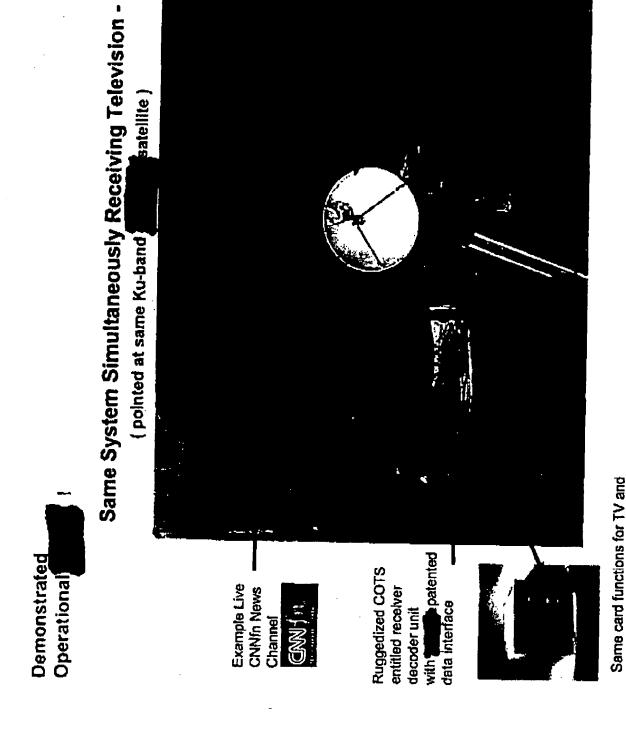
Passenger Systems 7- channel MRDU Card fils into

> I) - client High-Speed

PC and up-link communications software developed by.

r Channel 552 delivers our custom Internet capability / data via





This Figure Contains Rockwell Proprietary Information

data fits into Passenger Systems

7- channel MRDU

PACE 36/39 \* RCVD AT 7/12/2007 6:05:25 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/4 \* DNIS:2738300 \* CSID:319 295 8777 \* DURATION (mm-ss):10-42

Multi-regional dish antenna

11.6

Ku-band satellite

commercial

pointed at

CHICAGO

DÉNVER

MADISON

ORLANDO

MILWAUKEE

JACKSONVILLE

LOS ANGELES

### **FOLEY & LARDNER**

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WEST PALM BEACH

WRITER'S DIRECT LINE (414) 297-5768

EMAN, ADDRESS
JZiebert@foleylaw.com

·

January 11, 2000

CLIENT/MATTER NUMBER 71815/464

### VIA FEDERAL EXPRESS

James P. Mitchell Rockwell Collins M/S 108-207 400 Collins Road, NE Cedar Rapids, IA 52498

Re: First Draft of Patent Application

COMMUNICATION SYSTEM AND METHOD FOR A MOBILE

**PLATFORM** 

Our Docket No. 71815/464

Dear Jim:

Enclosed is a draft application for your invention disclosure. Please read the draft application to ensure that it provides an accurate and complete description of the invention. The description should be sufficiently complete to enable one of ordinary skill in the art to make and use the invention. The description must also set forth the best mode of the invention contemplated by you; important details of the invention cannot be withheld as trade secrets. Please ensure that the inventors listed on the cover page are correct.

In the meantime, if you have any questions or comments, please do not hesitate to contact me.

Very truly yours.

Joseph N. Ziebert

Enclosure(s)

cc: Kyle Eppele

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### RECEIVED CENTRAL FAX CENTER

JUL 1 2 2007

SACRAMENTO SAN DIEGO SAN FRANCISCO TALLAHASSEE TAMPA WASHINGTON, D.C.

WEST PALM BEACH

CLIENTMATTER NUMBER 71815-464

EXHBIT

### **FOLEY & LARDNER**

ATTORNETS AT LAW

CHICAGO
DENVER
JACKSONVILLE
LOS ANGELES
MADISON
MILWALIKEE
ORLANDO

FIRSTAR CENTER
777 EAST WISCONSIN AVENUE
MILWAUKEE, WISCONSIN 53202-5357
TELEPHONE (414) 271-2400
FACSHIEE (414) 297-4900

WRITER'S DIRECT LINE (414) 297-5768

EMAIL ADDRESS
JZiebert@foleylaw.com

January 18, 2000

Kyle Eppele Rockwell Collins M/S 124-323 400 Collins Road, NE Cedar Rapids, IA 52498

Re: U.S. Patent Application for COMMUNICATION SYSTEM AND

METHOD FOR A MOBILE PLATFORM

Inventor: Mitchell

Your Reference No. 00CR063 Our Docket No. 71815-464

Dear Kyle:

Please find enclosed two copies of the final draft of the patent application and an electronic version for the above-referenced invention. Based upon our files, the inventor of this invention is James P. Mitchell. The inventor has already reviewed the draft of the application and agrees that the enclosed final draft is in condition for filing.

Please have the inventor review the final draft of the application very carefully to ensure the accuracy and sufficiency of disclosure. In reviewing the patent application the inventors should keep in mind that the detailed description of the invention must enable one person skilled in the art pertinent to the invention to make and use the invention, and must set forth the best mode contemplated by the inventor for carrying out the invention. In other words, upon reading the patent application, one skilled in the art should be able to construct and use the preferred embodiment of the invention. Additionally, to satisfy the best mode, the patent application must disclose any special features of the system which are not conventional and which assist in carrying out the invention in the best way contemplated by the inventors.

Additionally, under 37 C.F.R. § 1.56, 1.97 and 1.98 the inventors have a duty to disclose all prior art (e.g. patents, articles, advertisements) they know of which is relevant to the invention to the Patent Office. I have included all relevant prior art from our files for

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ESTABLISHED 1842

FOLEY & LARDNER

Kyle Eppele January 18, 2000 Page 2

submission with the Patent Office. Accordingly, please submit all such prior art with the formal papers when this application is filed. As we understand, you will be responsible for filing the patent application and preparing the formal papers, in addition to, filing all foreign applications. If you would like any of the Rockwell patent applications to be placed on our docketing system for foreign filing reminder letters, please let us know immediately.

If you have any questions or comments concerning the patent application, or the enablement and best mode requirements discussed above, please call me at your convenience. Please provide us your comments at your earliest convenience.

Very truly yours,

loseph N. Ziebert

Enclosure(s)